

SUMMARY

The Luzerne County Transportation Authority (“*the Authority*”) herein sets forth its overall Disadvantaged Business Enterprise (DBE) Goal along with the corresponding goal-setting methodology for the three-year Federal Fiscal Year (FFY) goal period of 2024-2026, pursuant to Title 49 Code of Federal Regulations (CFR) Part 26 “Participation by Disadvantaged Business Enterprises in U.S. Department of Transportation Programs.”

The Authority, is a recipient of U.S. Department of Transportation (USDOT), Federal Transit Administration (FTA) funding. As a condition of receiving this assistance, the Authority and its FTA-assisted contracting program must comply with FTA’s DBE requirements. In accordance with Title 49 CFR Part 26; Participation by DBE’s in USDOT programs, the Authority is required to develop and submit an Overall DBE Goal for its FTA-assisted projects.

AMOUNT OF GOAL

As of July 2023, the Authority proposes an overall goal to this triennial DBE program methodology for Federal Fiscal Years 2024 to 2026 (the period of October 1, 2023 through September 30, 2026) 4.65% of the federal financial assistance to be expended in DOT/FTA-assisted contracts.

GEOGRAPHIC LOCAL MARKET AREA

It has been determined that the market area for the Authority is considered to be the Pennsylvania Counties of Luzerne, Lackawanna, Wyoming, Monroe, Columbia, Carbon, Schuylkill, Dauphin, Lebanon, Berks, Lehigh, Northampton, Bucks, Centre, Chester, Cumberland, Delaware, Franklin, Lancaster, Lycoming, Montgomery, Philadelphia, and York. Generally, the majority of the contractors and subcontractors with which the Authority does business with to supply goods and services come from this geographic market area.

LCTA CONTRACTS ASSISTED BY FTA FOR FFY 2024-2026

LCTA DBE Goal Methodology (FFY 24-26) List of Projects						
Project #	Project Name	NAICS	Description of Work	Amount of DOT Funds	DBEs	All Businesses
1	BRT Bus Study Consultant	541320	Land, City and Transportation Planning Consultant Services	\$96,000.00	2	99
2	Preventative Maintenance (Fleet & Facility)	423120	Motor Vehicle Supplies and New Parts Merchants	\$1,120,000.00	1	252
		424720	Petroleum Products Merchant Wholesalers	\$1,120,000.00	6	25
		444140	Hardware Stores	\$560,000.00	1	235
3	Safety & Security Planning	541690	Security & Safety Plan Consulting Services	\$20,000.00	1	398
4	Alt. Entergy Upgrades (Solar Panels)	238210	Electrical Contractors and Other Wiring and Solar Panel Installation Contractors	\$400,000.00	16	1530
5	IT Computer Hardware & Software Upgrades	423430	Computer and Computer Equipment and Software Merchant Wholesalers	\$24,000.00	5	133
TOTAL				\$3,340,000	32	2672

LCTA DBE Goal Methodology (FFY 24-26) List of Projects Spending by FFY								
Project #	Project	NAICS Code	NAICS Code Description	TOTAL NAICS FTA \$ Amount	FFY 2024 (10/1/2023 to 9/30/2024)	FFY 2025 (10/1/2024 to 9/30/2025)	FFY 2026 (10/1/2025 to 9/30/2026)	Total Contract \$ Amt. (FTA Funding)
1	BRT Lite Bus Study Consultant	541320	Land, City and Transportation Planning Consultant Services	\$96,000.00	\$96,000.00	\$0.00	\$0.00	\$96,000.00
2	Preventative Maintenance (Fleet & Facility)	423120	Motor Vehicle Supplies and New Parts Merchants	\$1,120,000.00	\$448,000	\$448,000	\$224,000	\$2,800,000.00
		424720	Petroleum Products Merchant Wholesalers	\$1,120,000.00	\$448,000	\$448,000	\$224,000	
		444140	Hardware Stores	\$560,000.00	\$224,000	\$224,000	\$112,000	
3	Safety & Security Planning	541690	Security & Safety Plan Consulting Services	\$20,000.00	\$0.00	\$20,000.00	\$0.00	\$20,000.00
4	Alt. Entergy Upgrades (Solar Panels)	238210	Electrical Contractors and Other Wiring and Solar Panel Installation Contractors	\$400,000.00	\$400,000.00	\$0.00	\$0.00	\$400,000.00
5	IT Computer Hardware & Software Upgrades	423430	Computer and Computer Equipment and Software Merchant Whosalers	\$24,000.00	\$24,000.00	\$0.00	\$0.00	\$24,000.00
TOTAL				\$3,340,000.00	\$1,640,000	\$1,140,000.00	\$560,000.00	\$3,340,000.00

All Available Firms (DBE/Non-DBE): LCTA DBE Projects: FFY 24-26

PROJECT		Area Code	Project 1		Project 2				Project 3		Project 4		Project 5			
NAICS CODE			541320		423120		424720		444140		541690		238210		423430	
			DBE	All	DBE	All	DBE	All	DBE	All	DBE	All	DBE	All	DBE	All
CWOPA COUNTY	Luzerne	570/272	0	0	0	14	0	0	0	19	0	6	0	58	0	0
	Lackawanna	570/272	0	0	0	16	0	0	0	13	0	7	0	44	0	0
	Monroe	570/272	0	3	0	4	1	1	0	8	0	6	0	35	0	3
	Dauphin	717/223	1	3	0	15	0	3	0	15	0	17	2	36	0	9
	Northampton	610/484	0	5	0	7	0	3	1	11	1	13	1	49	0	5
	Bucks	215/267 610/484	0	14	0	35	0	6	0	24	0	15	1	275	0	17
	Chester	484/610	0	15	1	20	2	5	0	17	0	89	0	141	0	27
	Cumberland	717/223	0	0	0	12	0	0	0	12	0	18	0	54	2	10
	Delaware	610/484	0	5	0	18	2	2	0	11	0	48	0	175	0	10
	Lancaster	717/223	0	9	0	27	0	0	0	29	0	21	1	159	1	5
	Montgomery	215/267 610/484	0	19	0	40	1	1	0	25	0	86	3	263	0	35
	Philadelphia	215/267 445 610/484	1	22	0	24	0	4	0	35	0	53	7	148	2	8
York	717/223	0	4	0	20	0	0	0	16	0	19	1	93	0	4	
TOTAL AVAILABLE FIRMS		32 / 2672	2	99	1	252	6	25	1	235	1	398	16	1530	5	133

Data Source: U.S. Census Bureau 2021 County Business Patterns & PA UCP Database (Accessed May 2023)

METHODOLOGY & FORMULA FOR DETERMINATION OF GOAL

Step 1 A - Determine the weight of each type of work by NAICS Code:				
All reasonably anticipated FTA-assisted contracting opportunities are identified as:				
	NAICS Code	Description of Work	Amount of DOT funds on project:	% of total DOT funds (weight)
1)	541320	BRT Study Consultant	\$96,000.00	0.0287
2)	423120	Preventative Maintenance (Fleet / Facility, Parts)	\$1,120,000.00	0.3353
3)	424720	Preventative Maintenance (Fleet / Facility, Petroleum)	\$1,120,000.00	0.3353
4)	444140	Preventative Maintenance (Fleet / Facility, Hardware)	\$560,000.00	0.1677
5)	541690	Safety & Security Consultant & Plan	\$20,000.00	0.0060
6)	238210	Alt. Energy Upgrades (Solar Panels)	\$400,000.00	0.1198
7)	423430	IT Computer Hardware & Software Upgrades	\$24,000.00	0.0072
Total FTA-Assisted Contract Funds			\$3,340,000.00	1

Step 1 B - Determine the relative availability of DBE's by NAICS Code:

The numbers of DBEs and of all firms available to perform work on the identified contracting

	NAICS Code	Description of Work	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability	
1)	541320	BRT Study Consultant	2	99	0.0202	
2)	423120	Preventative Maintenance (Fleet / Facility, Parts)	1	252	0.0040	
3)	424720	Preventative Maintenance (Fleet / Facility, Petroleum)	6	25	0.2400	
4)	444140	Preventative Maintenance (Fleet / Facility, Hardware)	1	235	0.0043	
5)	541690	Safety & Security Consultant & Plan	1	398	0.0025	
6)	238210	Alt. Entergy Upgrades (Solar Panels)	16	1530	0.0105	
7)	423430	IT Computer Hardware & Software Upgrades	5	133	0.0376	
	Combined Totals		32	2672	0.0120	Overall avail- ability of DBEs

Step 1 C - (Weight) x (Availability) = Weighted Base Figure						
	NAICS Code	Description of Work	Weight	x	Avail- ability of DBEs	Weighted Base Figure
1)	541320	BRT Study Consultant	0.0287	x	0.0202	0.0006
2)	423120	Preventative Maintenance (Fleet / Facility, Parts)	0.3353	x	0.0040	0.0013
3)	424720	Preventative Maintenance (Fleet / Facility, Petroleum)	0.3353	x	0.2400	0.0805
4)	444140	Preventative Maintenance (Fleet / Facility, Hardware)	0.1677	x	0.0043	0.0007
5)	541690	Safety & Security Consultant & Plan	0.0060	x	0.0025	0.0000
6)	238210	Alt. Entergy Upgrades (Solar Panels)	0.1198	x	0.0105	0.0013
7)	423430	IT Computer Hardware & Software Upgrades	0.0072	x	0.0376	0.0003
					Total	0.0846
					Expressed as a % (*100)	8.46%
					Rounded, Weighted Base Figure:	8.5%

ADJUSTED BASE FIGURE

Median of Prior DBE Participation		
FFY	DBE %	Prior DBE Goal:
2021	0.14%	2.50%
2022	0.80%	
2023	4.14%	
Median: 0.80%		

Adjusted Base Figure Formula			
Median of Past Participation	Weighted Step 1 Base Figure	Divided By	Adjusted Goal
0.80%	8.50%	2	4.65%
9.30%			

Race-Conscious & Race-neutral Projections	
DBE Adjusted Base Figure	4.65%
Race-Conscious Component	0.00%
Race-Neutral Component	4.65%